

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 09/776,009
 02/02/2001
 2818
 1568
 MSI-1
 4
 56
 4

CONFIRMATION NO. 7430

UPDATED FILING RECEIPT

OC000000006837200

FISH & NEAVE

REFERRED TO _ NOTED BY _____

1473 FISH & NEAVE 1251 AVENUE OF THE AMERICAS 50TH FLOOR NEW YORK, NY 10020-1105

Date Mailed: 10/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael A. Vyvoda, Fremont, CA; James M. Cleeves, Redwood City, CA; Samuel V. Dunton, San Jose, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/06/2001

Projected Publication Date: 08/08/2002

Non-Publication Request: No

Early Publication Request: No

Title

Wafer surface that faculitates particle removal

facilitates

Preliminary Class

365

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Serial No.: 09/776,009
Attorney Docket No.: 40025.003
Confirmation No.: 7430

In re Patent Application:
Michael A. Vyvoda et al.

Serial No.: 09/776,009

Filed: February 02, 2001

For: "Wafer Surface That Facilitates Particle

Removal"

Group Art Unit: 2818

Examiner: Unassigned

RECENED TO 2800 HALL ROOF

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

We are forwarding herewith a copy of the Filing Receipt, which we received from the U.S. Patent and Trademark Office. There is an error in the Filing Receipt, which is indicated in highlight on the copy. The error is the word "Facilitates" in the title, which is spelled incorrectly. The correct information is shown in black ink adjacent the error.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington D.C. 20231, on this the 8th Day of November.

Dated: NOVEMBER 8

7001

Serial No.: 09/776,009 Attorney Docket No.: 40025.003

Confirmation No.: 7430

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Respectfully Submitted,

KENNETH E. HORTON

Reg. No. 39,481

Rader, Fishman & Grauer

Date: November 8, 2001